

PATENT APPLICATION

OUR FILE NO. 2000-0702.ORI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App : Brian Hawtin

S.N. : 09/701,140

Filed : November 21, 2000

For : FORMULATION

January 26, 2001



TRANSMITTAL OF MISSING PARTS OF APPLICATION

Box Missing Parts  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application-Filing Date Granted bearing a date mailed of January 18, 2001 (copy attached), submitted herewith please find an executed Supplemental Declaration, Power of Attorney and Petition.

Because we have now filed the necessary executed Declaration, the statutory basic filing fee submitted with the application is no longer insufficient as indicated in Item 1 of the Notice.

Also enclosed is a check in the amount of \$65.00 to cover the surcharge for a small entity for the late filing of the

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Declaration. The Commissioner is authorized to charge any additional fees or refund any overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 50-0789.

Respectfully submitted,

HAUGEN LAW FIRM PLLP

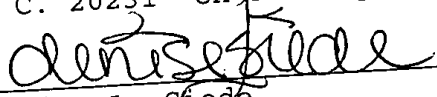
A handwritten signature in black ink, appearing to read "Mark J. Burns", with a long horizontal flourish extending to the right.

Mark J. Burns, Reg. #46591  
Attorney for Applicants  
1130 TCF Tower  
121 South Eighth Street  
Minneapolis, MN 55402  
Phone: (612) 339-8300

Encs.

**CERTIFICATE OF MAILING**

I hereby certify that the foregoing Transmittal of Missing Parts of Application in application Serial No. 09/701,140 filed November 21, 2000 of Brian Hawtin, and entitled FORMULATION and attached executed Supplemental Declaration, a copy of the Notice to File Missing Parts of Application, and a check in the amount of \$65.00 are being deposited with the United States Postal Service as First Class mail, postage prepaid, in an envelope addressed to: Box Missing Parts, Commissioner of Patents and Trademarks, Washington, D. C. 20231 on January 26, 2001.

  
Denise L. Stede  
Secretary to Mark J. Burns  
Attorney for Applicants

Date of Signature: January 26, 2001



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: ASSISTANT COMMISSIONER FOR PATENTS  
Box PCT  
Washington, D.C. 20231



U.S. APPLICATION NO. 09/701140	FIRST NAMED APPLICANT HAWTIN	ATTY. DOCKET NO. 2000-0702.0R
INTERNATIONAL APPLICATION NO. PCT/GB99/01600		
I.A. FILING DATE 20 MAY 99		PRIORITY DATE 22 NOV 00
DATE MAILED: 18 JAN 2001		

MARK J BURNS  
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**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494).
- ☒ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee.
- ☒ Copy of the international application in:
  - ☐ a non-English language.
  - ☒ English.
- ☒ Translation of the international application into English.
- ☐ Oath or Declaration of inventors(s) for DO/EO/US.
- ☐ Copy of Article 19 amendments.
- ☐ Translation of Article 19 amendments into English.
- ☒ The International Preliminary Examination Report in English and its Annexes, if any.
- ☒ Translation of Annexes to the International Preliminary Examination Report into English.
- ☐ Preliminary amendment(s) filed \_\_\_\_\_ and \_\_\_\_\_.
- ☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_.
- ☐ Assignment document.
- ☐ Power of Attorney and/or Change of Address.
- ☐ Substitute specification filed \_\_\_\_\_.
- ☐ Verified Statement Claiming Small Entity Status.
- ☒ Priority Document.
- ☒ Copy of the International Search Report ☒ and copies of the references cited therein.
- ☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
    - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
  - ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
  - ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
    - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
  - ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

- Enclosed:
- ☐ PCT/DO/EO/917
  - ☐ PTO-875
  - ☐ Notice of Defective Translation
- FORM PCT/DO/EO/905 (December 1997)

Anita D. Johnson  
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